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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/504,156	02/15/2000	Jordan Brown	SUNB1P376/P4382	7524

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EXAMINER

KENDALL, CHUCK O

ART UNIT	PAPER NUMBER
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2122

DATE MAILED: 08/26/2003

7

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/504,156

Applicant(s)

BROWN ET AL.

Examiner

Chuck O Kendall

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 15 February 2000.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☐ Claim(s) \_\_\_\_\_ is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### Examiners Response

1. This Office Action is the response to the communication received on June, 2 2003 Amendment under 37 CFR § 1.111. Reconsideration of the instant application is requested by applicants. All such supporting documentation has been placed of record in the file. Claims 1-24 are pending in this application.

### Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-24 are rejected under 35 U.S.C. 102(b) as being anticipated by Preisler et al. USPN 5,675,803 (hereinafter Preisler).

Regarding claim 1, Preisler anticipates a method of providing replacement functions for a set of system services, comprising:

Sending a request for primitive function from one of the set of system services to another one of the set system services the primitive function replicating the one of the set of system services in a manner such that implementation of the primitive function reduces or eliminates reliance on one or more system functions capable of becoming non-functional in the event of system error (5: 60-65, see patch sites and patch area instruction, and see 6:27-37); and receiving an identifier associated with the requested primitive function at the one of the set of system services from another one of the set of system services, thereby enabling the one of the set of system services to call the primitive function via the identifier associated with the requested primitive function (5:60-65, and 6:22-25).

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Regarding claim 2 the method as recited in claim 1, wherein sending a request for a primitive function and receiving the identifier associated with the requested primitive function is performed only when the one of the set of system services performs a debugging function (6:27-37).

Regarding claim 3 the method as recited in claim 1, wherein sending a request for a primitive function and receiving the identifier associated with the requested primitive function is performed only when the one of the set of system services performs at least one of an input and an output function (6:54).

Regarding claim 4 a method of providing replacement functions for a stack of system services, the stack of system services including one or more layers, each layer representing one of the system services, wherein lower layers provide services to upper layers in the stack (6:65-7:5), the method comprising:

    sending a primitive function request for a primitive function down from one of the layers of the stack of system services to another one of the layers in the stack of system services, the primitive function replicating the system service associated with the one of the layers in the stack (7:1-15);

    when the one of the layers is responsible for performing at least one of input and output, returning a primitive function identifier associated with the primitive function (11:15-33).

Regarding claim 5 the method as recited in claim 4, further comprising:

    when the another one of the layers is responsible for performing at least one input and output, sending another primitive function request from the one of the layers in the stack to a lower layer in the stack (11:20-25).

Regarding claim 6 the method as recited in claim 4, further comprising:

    propagating the primitive function request down the one or more layer of the stack of system services (7:1-20).

Regarding claim 7 a method of providing replacement functions for a stack of system services, the stack of system services including one or more layers, each layer representing one of the system services, wherein lower layers provide service to upper layers in the stack, the method comprising:

sending a primitive function request for a primitive function down from a first one of the layers in the stack of system services to a second one of the layers in the stack, the primitive function replicating the system service associated with the second one of the layers in the stack of system services in a manner such that implementation of the primitive function reduces or eliminates reliance on one or more system functions capable of becoming non-functional in the event of a system error (5: 60-65, see patch sites and patch area instruction, and see 6:27-37);

returning primitive function information associated with the primitive function to the first one of the layers (11:5-30, 17:10); and

storing the primitive function information to enable the first one of the layers in the stack of system services to communicate with the primitive function associated with the second one of the layers in the stack of system services (7:28-33).

Regarding claim 8 the method as recited in claim 7, wherein the primitive function information includes a pointer to the primitive function (5:33).

Regarding claim 9 the method as recited in claim 7, wherein the primitive function information includes state information data to be provided to the primitive function when the primitive function is called (9:5-20).

Regarding claim 10 the method as recited in claim 7, further comprising:

repeating the sending, returning, and storing steps over multiple layers of the stack such that a stack of primitive mechanisms parallel to the stack of system services is assembled (10:10-20).

Regarding claim 11 see claim 4 for reasoning.

Regarding claim 12 see claim 2 for reasoning.

Regarding claim 13 see claim 1 for reasoning.

Regarding claim 14 the system as recited in claim 13, further comprising:

a primitive function calling mechanism adapted for calling one or more primitive functions associated with the one or more identifiers returned by the primitive function request mechanism (5:35-40).

Regarding claims 15 the system as recited in claim 14, wherein the primitive function calling mechanism is associated with one or more of the set of components (6:1-10, for components see objects).

Regarding claim 16 the system as recited in claim 13, wherein the one or more of the set of primitive functions replace one or more of the set of services when the set of services are determined to be inoperative (10:35-50, for inoperative see error).

Regarding claim 17 see claim 2 for reasoning also see (9:9-15).

Regarding claim 18 the system as recited in claim 13, further comprising:  
state information associated with each of the set of components, the state information including data that enables the corresponding service to communicate with another one of the set of services.

Regarding claim 19 see claim 9 for reasoning.

Regarding claim 20 see claim 3 for reasoning.

Regarding claim 21 the system as recited in claim 13, wherein the set of services and the set of primitive functions provide keyboard functionality (inherently services within a pc environment provide the use of keyboard functionality for all programs, and programming which involves receiving input e.g. debuggers, installers, compilation, communication, configuration etc.).

Regarding claim 22, see claim 1 for reasoning.

Regarding claim 23, see claim 7 for reasoning.

Regarding claim 24, see claim 14 for reasoning.

#### Response to Arguments

3. Applicant's arguments with respect to claims 1- 24 have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

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§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

#### Correspondence Information

5. Any inquires concerning this communication or earlier communications from the examiner should be directed to Chuck O. Kendall who may be reached via telephone at (703) 308-6608. The examiner can normally be reached Monday through Friday between 8:00 A.M. and 5:00 P.M. est.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, *Tuan Dam* can be reached at (703) 305-4552.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-3900.

*For facsimile (fax) send to 703-7467239 official and 703-7467240*

*draft*

*Chuck O. Kendall*

Software Engineer Patent Examiner

  
**TUAN Q. DAM**  
**PRIMARY EXAMINER**